PATENT APPLICATION

RECEIVED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Hiroaki MASHIKO, et al.

Appln. No.: 09/818,573

Confirmation No.: 3670

Filed: March 28, 2001

DEVICE CONTAINING SAME

Docket No: Q63340

Group Art Unit: 2875

Examiner: Dong, Dalei

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

MEMBER FOR ELECTROLUMINESCENT DEVICE AND ELECTROLUMINESCENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

For:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

JAN 1 4 2004

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/818,573

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents, together with an

English-language version (if not already included) of at least that portion of the Communication

indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 32,197

<u> Peg. No. 32,607</u>

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: January 14, 2004

2

| Substitute | for | Form | 1449 | A | & | B/PT(|
|------------|-----|------|------|---|---|-------|
| | | | | | | |

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

| | B (00-03) |
|------------------------|------------------------|
| Сотр | olete if Known |
| Application Number | 09/818,573 |
| Confirmation Number | 3670 |
| Filing Date | March 28, 2001 O I P |
| First Named Inventor | Hiroaki MASHIKO |
| Art Unit | 2875 |
| Examiner Name | Dong, Dalei (MAR 0 F. |
| Attorney Docket Number | Q63340 3 2004 |

| | | | U.S. 1 | PATENT DOCU | MENTS AQEMABIO |
|--|------|-----------------|-----------------------------------|------------------|---|
| | Cite | Document Number | | Publication Date | CMARD |
| | No.1 | Number | Kind Code ² (if known) | MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
| | | US 5,107,175 | Α | 4/21/1992 | Hirano et al. |
| | | US | | | |

| | | | F | OREIGN PA | TENT DOCUMEN | ITS | |
|-----------------------|--------------|------------------------------|---------------------|-----------------------------------|------------------|--|--------------------------|
| Examiner Initials* | Cite No.1 | Foreign Patent Document | | | Publication Date | | |
| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Translation ⁶ |
| | | CN | 2314546 | Y | 4/14/1999 | | No |
| | | | <u> </u> | | | | |
| | | | | | | RECE | |
| | | | | | | CEIVED | |
| | | ļ | | | | MAR a | |
| | | | | | | ·· 0 9 2004 | |
| | | | | | | | |
| | | | | | | | |
| | | ļ | | | | | |
| L | | L | <u> </u> | <u>.l</u> | | | |

| | | NON PATENT LITERATURE DOCUMENTS | |
|-----------------------|--------------------------|---|--------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published | Translation |
| | | Chinese Office Action | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | l |

| | | T |
|--------------------|-----------------|---|
| Examiner Signature | Date Considered | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English